

BEUSSE, BROWNLEE, BOWDOIN & WOLTER, P.A.

FACSIMILE TRANSMITTAL SHEET

TO:	<i>Private Patent Examination</i>	FROM:	Enrique J. Mora, Esquire
COMPANY:	<i>US Patent & Trademark</i>	DATE:	November 20, 2001
FAX NUMBER:	<i>703-305-9822</i>	TOTAL NO. OF PAGES INCLUDING COVER:	<i>17</i>
PHONE NUMBER:	<i>703-808-0910</i>	SENDER'S REFERENCE NUMBER:	<i>09/736,495</i>
RE:		YOUR REFERENCE NUMBER:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

Response to Missing Parts

09/736,495

Enrique J. Mora
Beusse, Brownlee, Bowdoin & Wolter, P. A.
390 North Orange Avenue, Suite 2500
Orlando, Florida 32801
(407) 926-7705
emora@iplawfl.com

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

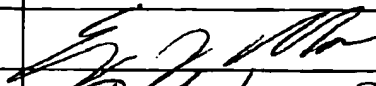
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/736,495
	Filing Date	12/13/2000
	First Named Inventor	Louis Andrew Schick
	Group Art Unit	2161
	Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number	20-LC-2099/624226.289


ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Copy of Notice to File Missing Parts of Nonprovisional Appl. 2) Submittal of Formal Drawings 3) Declaration and Power of Attorney for Patent Application
Remarks		4) Recordation Form Cover Sheet 5) Supplemental Information Disclosure Statement original and 2 copies 6) Post Card

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Enrique J. Mora, Esquire Beusse, Brownlee, Bowdoin & Wolter, P. A.
Signature	
Date	October 30, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10/30/2001

Typed or printed name	Evelyn J. Gish
Signature	
Date	10/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (08-00)

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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 170.00)

Complete if Known

Application Number	09/736,495
Filing Date	12/13/2000
First Named Inventor	Louis Andrew Schick
Examiner Name	Not Assigned
Group Art Unit	2161
Attorney Docket No.	20-LC-2099/624226.289

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

07-0846

Deposit
Account
Name

General Electric Company

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 680	201 345	Utility filing fee	
106 310	206 165	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 280	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 60	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 680	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
179 690	279 345	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00)

SUBMITTED BY

Name (Print/Type) Enrique J. Mora

Signature

Registration No.
(Attorney/Agent)

36,875

Complete (if applicable)

Telephone

(407) 926-7705

Date

October 30, 01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/736,495	12/13/2000	Louis A. Schick	20-LC-2099/624226.289

CONFIRMATION NO. 3646

FORMALITIES LETTER



OC000000006884770

Enrique J. Mora, Esquire
Holland & Knight, LLP
P.O. Box 1526
Orlando, FL 32802-1526

Adjustment date: 12/31/2001 TV0111
11/30/2001 SMINASS1 00000006 070846 09736495
~~01 FC:105~~ ~~130.00 GR~~

Date Mailed: 10/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

11/30/2001 SMINASS1 00000006 070846 09736495

Filing Date Granted

~~01 FC:105~~ ~~130.00 GR~~

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Nov 21 01 03:08p

Beusse Brownlee Bowdoin

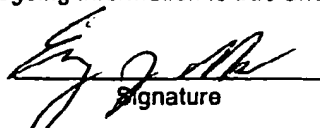
407-926-7720

P. 5

Page 2 of 2

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇌ ⇌ ⇌		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Louis Andrew Schick, Kimberley M. Mangino, Gregory James Hampson, Paul Edward Cuddihy, Gregory John Fera, Richard Gerald Bliley, Luis Ivan Gomez Meneses, Michael James Pierro, James E. Schlachar, William Roy Schneider Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies) Name: <u>General Electric Company</u> Internal Address: _____ _____ Street Address: <u>One River Road</u> _____ City: <u>Schenectady</u> State: <u>NY</u> Zip: <u>12301</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>09/736,495</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Enrique J. Mora</u> Internal Address: _____ _____ Street Address: <u>390 North Orange Avenue</u> <u>Suite 2500</u> City: <u>Orlando</u> State: <u>FL</u> Zip: <u>32801</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41): \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>07-0846</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Enrique J. Mora</u> Name of Person Signing  <u>October 30, 01</u> Signature Date					
Total number of pages including cover sheet, attachments, and documents: <u>7</u>					

PATENT APPLICATION ASSIGNMENT

WHEREAS, we, Louis Andrew Schick, a citizen of United States of America, residing at 1 Nine Mile Lane, Delmar, New York 12054; Kimberley Marie Mangino, a citizen of United States of America, residing at 6 Rembrandt Drive, Niskayuna, New York 12309; Gregory James Hampson, a citizen of United States of America, residing at 129 Homestead Road, Saratoga Springs, New York 12866; Paul Edward Cuddihy, a citizen of United States of America, residing at 1271 Baker Avenue, Schenectady, New York 12309; Gregory John Fera, a citizen of United States of America, residing at 5664 Rockledge Drive, Erie, PA 16511; Richard Gerald Bliley, a citizen of United States of America, residing at 1020 Bellevue Drive, Erie, PA 16504; Luis Ivan Gomez Meneses, a citizen of MX, residing at 151 E. 4th Street, Erie, PA 16507; Michael James Pierro, a citizen of United States of America, residing at 4717 Amherst Road, Erie, PA 16506; James E. Schlabach, a citizen of United States of America, residing at 4185 Mountain Laurel Drive, Erie, PA 16510 and William Roy Schneider, a citizen of United States of America, residing at 8990 Old Wattsburg Road, Erie, PA 16510; have invented new and useful improvements in a System And Method For Managing A Fleet of Remote Assets for which application is being made for Letters Patent and is further identified by Attorney's Docket No. 20-LC-2099/624226.000289.

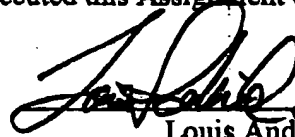
WHEREAS, General Electric Company, a corporation of the State of New York, One River Road, Schenectady, New York 12301, is desirous of acquiring our entire right, title and interest in said application and our Letters Patent which may issue thereon.

NOW, THEREFORE, be it known by all whom it may concern that for and in consideration of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable consideration, we hereby assign to said corporation, both in and for the territory of the United States of America and the entire world, all our title and interest in and to said invention, patent application and any patent which may issue thereon, including all divisions, continuations, reissues, continuations-in-part and substitutions thereof, plus all priority rights for patent applications foreign to the United States of America. We further hereby assign and transfer to said corporation whatever cause of action we may have for past or present infringement of said invention, together with the right to bring suit for any such infringement and to seek and receive damages and other relief arising from any such infringement.

We HEREBY covenant and agree that we will at any time, upon the request and at the expense of said corporation, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention, applications and patents, and we authorize the Commissioner of Patents and Trademarks to issue Letters Patent to said corporation.

IN TESTIMONY WHEREOF, we have executed this Assignment on the 12th day of

January, 2001.

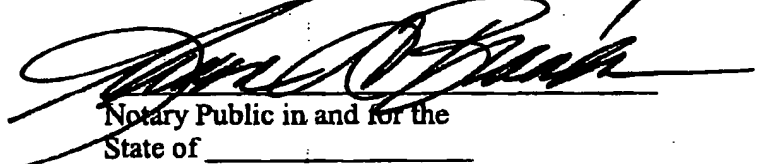


Louis Andrew Schick

STATE OF NEW YORK

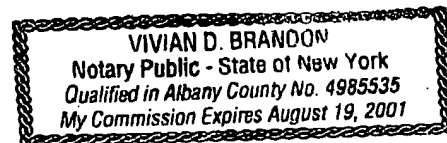
COUNTY OF SCHENECTADY

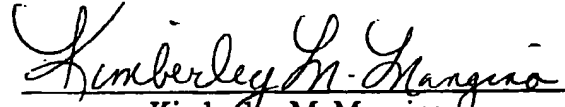
BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 25th day of JANUARY, 2001.



Notary Public in and for the
State of _____

My Commission Expires: _____





Kimberley M. Mangino

STATE OF NEW YORK

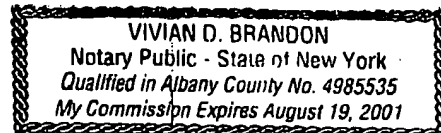
COUNTY OF SCHENECTADY

BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 25 day of JANUARY, 2001.



Notary Public in and for the
State of _____

My Commission Expires: _____



Gregory James Hampson
Gregory James Hampson

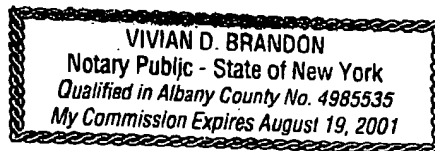
STATE OF New York

COUNTY OF SCHENECTADY

BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 5th day of February 2001.

[Signature]
Notary Public in and for the
State of _____

My Commission Expires: _____



Paul Edward Cuddihy
Paul Edward Cuddihy

STATE OF New York

COUNTY OF SCHENECTADY

BEFORE ME personally appeared the above-identified individual and acknowledged the foregoing Assignment to be his/her voluntary act and deed on the 25th day of JANUARY 2001.

[Signature]
Notary Public in and for the
State of _____